

# LOAN APPLICATION FORM

... please send to:

**Purchase Area Development District**  
 - Business Lending, Development & Energy Services -  
 1002 Medical Drive  
 P.O. Box 588  
 Mayfield, KY 42066



## Loan Checklist

The following information must be submitted at the time of application:		
#	Attached	Description
1.	<input type="checkbox"/>	A credit memorandum, which includes a history and description of the business and analysis of management ability, and description of the qualifications and background of the principals involved in day-to-day management of the business.
2.	<input type="checkbox"/>	Personal History Statement (SBA Form 912) for each officer and director (regardless of ownership) and each proprietor, partner and stockholder with 20% or more ownership of the small business concern (SBC).
3.	<input type="checkbox"/>	Personal financial statement (SBA Form 413) current within 90 days for each proprietor, partner or stockholder with 20% or more ownership of the SBC.
4.	<input type="checkbox"/>	Full Personal IRS Income Tax Returns for the last 3 years
5.	<input type="checkbox"/>	Resume for each business owner and key management
6.	<input type="checkbox"/>	A balance sheet and income statement as well as federal income tax returns for the previous three years for the SBC. If the SBC is a new business, provide a pro forma balance sheet with a description of assumptions attached.
7.	<input type="checkbox"/>	A balance sheet and income statement dated within 60 days of the application together with an aging of the accounts receivable and accounts payable listed.
8.	<input type="checkbox"/>	Where appropriate, a projected, annualized income statement for the first two years after the loan with a description of assumptions attached.
9.	<input type="checkbox"/>	For a new business, a monthly cash flow analysis for the first 12 months of operation or for three months beyond the breakeven point (whichever is longer) together with a description of assumptions.
10.	<input type="checkbox"/>	A schedule of debts which includes the original date and amount, monthly payment, interest rate, present balance owed, maturity, to whom payable, and collateral securing the loan for each short-term and long-term loan that the business currently has outstanding. Please indicate whether each loan is current or delinquent.
11.	<input type="checkbox"/>	A schedule of any previous government financing received by the applicant (small) business concern or any affiliated company of the applicant as well as any associate or principal of the applicant. Include the name of the agency, the original date and amount, the outstanding balance, status of the loan (current, delinquent, or paid in full), and collateral securing the loan.
12.	<input type="checkbox"/>	The names of affiliated (through ownership or management control) or subsidiary businesses as well as the last two fiscal year-end financial statements and/or federal income tax returns for the last two years.
13.	<input type="checkbox"/>	If the business is a franchise, include a copy of the Franchise Agreement and the Franchiser's Disclosure Statement that is required by the Federal Trade Commission.
14.	<input type="checkbox"/>	A copy of key cost documents such as real estate purchase agreements, contractor cost estimates, vendor quotes for machinery and equipment, etc. as well as an itemized listing of estimated "professional fees".
15.	<input type="checkbox"/>	If applicable, a copy of the existing or proposed lease agreement.
16.	<input type="checkbox"/>	
17.	<input type="checkbox"/>	
18.	<input type="checkbox"/>	
19.	<input type="checkbox"/>	
20.	<input type="checkbox"/>	
21.	<input type="checkbox"/>	
22.	<input type="checkbox"/>	
23.	<input type="checkbox"/>	
24.	<input type="checkbox"/>	

## General & Project Information Form

<b>I.</b>	<b>Date Of Application:</b>					
<b>II.</b>	<b>Borrowers Name</b>				<b>TAX / Employer ID #:</b>	
	<b>Name of Small Business Concern (SBC) if other than Borrower</b>				<b>US Citizen:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
	<b>Name of Principal in Charge of the SBC</b>			<b>Telephone Number</b>	<b>FAX Number</b>	
	<b>SBC's Address</b>			<b>Street Address of Project (if different)</b>		
	<b>City</b>	<b>County</b>	<b>State/ZIP Code</b>	<b>City</b>	<b>County</b>	<b>State/ZIP Code</b>
<b>Applicants E-Mail-Address:</b>			<b>Applicants Website:</b>			
<b>III.</b>	<input type="checkbox"/> S Corporation, Years Chartered: _____ <input type="checkbox"/> C Corporation, Years Chartered: _____ <input type="checkbox"/> Partnership, # of Partners: _____ <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Trust <input type="checkbox"/> Not Determined		<b>Business established in Year:</b> _____	<b>Ownership of Company (51% or more):</b> <b>Veteran:</b> <b>Woman</b> <b>Minority</b> <input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No <input type="checkbox"/> No		
			<b>NAICS Code:</b> _____	<b>Location:</b> <input type="checkbox"/> Rural <input type="checkbox"/> Urban <input type="checkbox"/> Metro		
	<b>Data Universal Numbering System (DUNS)</b> There is no charge to obtain a DUNS number. Please call 1-866-705-5711 or go to the following address to obtain your number: <a href="http://www.dunandbradstreet.com/US/duns_update/index.html">www.dunandbradstreet.com/US/duns_update/index.html</a>					
	<b>DUNS Number:</b>		Please check <input type="checkbox"/> here if you want the PADD to obtain that number for you!			
	<b>Is the project located in a community revitalization or redevelopment area?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unsure		<b>Is the project located in an area affected by federal budget cutbacks?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unsure		<b>Will the proceeds be used for plant re-tooling or modernization of manufacturing facilities?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
	<b>Franchise Operation:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No ➔ If "Yes", provide documentation.		<b>Do you export:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		<b>Are there or will there be underground tanks on the property?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>IV.</b>	<b>1. Major Product Line / Services of Company</b>					
	<b>2. Major Customers</b>			<b>3. Major Vendors / Suppliers</b>		
	<b>4. Rationale / Need for Project</b>					

<b>V.</b>	<b>Business Principal</b> (List all proprietors, partners, officers, directors, and holders of outstanding stocks ... <b>100% of ownership must be shown</b> )					
	<b>Full Legal Name</b>	<b>SSN</b>	<b>Title</b>	<b>Address</b>	<b>% of Ownership</b>	<b>Veteran</b>
					%	<input type="checkbox"/> Yes <input type="checkbox"/> No
					%	<input type="checkbox"/> Yes <input type="checkbox"/> No
					%	<input type="checkbox"/> Yes <input type="checkbox"/> No
					%	<input type="checkbox"/> Yes <input type="checkbox"/> No
Individuals owning 20% or more of the business will be required to provide an unlimited personal guaranty.						
<b>VI.</b>	<b>Other Business Affiliations</b> (List any other business affiliation owned or partially owned by the individuals listed in the ownership section of this application and any other business concern owned by the applicant company)					
	<b>Name of Owner</b>	<b>Business Name</b>		<b>Nature of Business</b>	<b>% of Ownership</b>	
					%	
					%	
					%	
Please provide the last 2 fiscal year-end financials statements for each affiliate.						
<b>VII.</b>	<b>Declarations of principal owners, officers, directors</b> Please answer the following questions as they may apply to the applicant company, each officer, each director, and each owner of the applicant company. For each "Yes" answer, attach a separate signed exhibit providing a detailed explanation from the source.					
	1. Are any involved in any claim or lawsuit?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	2. Are any federal, state, or local taxes delinquent?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	3. Have any ever been involved in bankruptcy or insolvency proceedings?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	4. Do you anticipate filing bankruptcy in the near future?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	5. Do you have any outstanding judgments?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	6. Have any ever had property foreclosed upon or given title or deed in lieu of foreclosure?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	7. Is this loan request under consideration at another financial institution at this time? → If yes, who?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	8. Are any delinquent for child support payments?				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	9. Are any presently under indictment, parole, or probation? → If yes, indicate date parole or probation is to expire:				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	10. Have any ever been charged with and/or arrested for any criminal offense other than a minor motor vehicle violation? Include offenses which have been dismissed, discharged, or not prosecuted. (All arrests and charges must be disclosed and explained on an attached sheet.)				<input type="checkbox"/> Yes <input type="checkbox"/> No	
	11. Have any ever been convicted, placed on pretrial diversion, or placed on any form of probation, including adjudication withheld pending probation, for any criminal offense other than a minor vehicle violation?				<input type="checkbox"/> Yes <input type="checkbox"/> No	



<b>Proposed Collateral</b>	
Attach additional sheets if necessary.	
<b>Collateral A</b>	
Type: _____	Basis for Valuation: _____
Description: _____	Existing Lien Holder: _____
Market Value: \$ _____	Outstanding Balance: \$ _____
<b>Collateral B</b>	
Type: _____	Basis for Valuation: _____
Description: _____	Existing Lien Holder: _____
Market Value: \$ _____	Outstanding Balance: \$ _____
<b>Collateral C</b>	
Type: _____	Basis for Valuation: _____
Description: _____	Existing Lien Holder: _____
Market Value: \$ _____	Outstanding Balance: \$ _____
<b>Collateral D</b>	
Type: _____	Basis for Valuation: _____
Description: _____	Existing Lien Holder: _____
Market Value: \$ _____	Outstanding Balance: \$ _____
<b>Ratio of Loan Amount to # of created / retained jobs:</b>	
Loan Amount applied for: \$ _____	
Number of jobs created / retained: _____	
Number of NEW jobs: _____	
Number of retained jobs: _____	
→ Does Project meet jobs requirement of 1 jobs per \$65,000 (SBA 504):	<input type="checkbox"/> Yes <input type="checkbox"/> No
→ Does Project meet jobs requirement of 1 jobs per \$25,000 (IRP):	<input type="checkbox"/> Yes <input type="checkbox"/> No
→ Does Project meet jobs requirement of 1 jobs per \$25,000 (RLF):	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>SOURCE OF EQUITY INJECTION (Please provide proof.)</b>	
The PADD requires the applicant to inject/invest a minimum of 10% of the Total Project Cost in the project. This minimum becomes 15% if the business is less than two years old OR the project facility is a single purpose structure and 20% if both circumstances apply.	
<input type="checkbox"/> Personal Cash	\$ _____
<input type="checkbox"/> Business Cash	\$ _____
<input type="checkbox"/> Real Estate	\$ _____
<input type="checkbox"/> Other	\$ _____
Please specify: _____	

## Statements Required By Laws And Executive Orders

Federal executive agencies, including the Small Business Administration, are required to withhold or limit financial assistance to impose special conditions on approved loans, to provide special notices to applicants or borrowers, and to require special reports and data from borrowers in order to comply with legislation passed by Congress by Executive Orders issued by the President and by the provisions of various inter-agency agreements. SBA has issued regulations and procedures that implement these laws and executive orders, and they are contained in Parts 112, 113, 116, 117, and 140, Title 13 Code of Federal Regulations, Chapter 1, or Standard Operating Procedures. This form contains a brief summary of the various laws and executive orders that affect SBA's state and local development company loan programs and gives applicants and borrowers the notices required by law or otherwise.

### **FREEDOM OF INFORMATION ACT**

#### **(5 U.S.C. 552)**

This law provides, with some exceptions, that SBA must supply information reflected in agency files and records to a person requesting it. Information about approved loans that will be automatically released includes, among other things, statistics on our loan programs (individual borrowers are not identified in the statistics) and other information such as the names of the borrowers, (and their officers, stockholders or partners), the collateral pledged to secure the loan, the amount of the loan, its purpose in general terms, and the maturity. Proprietary data on a borrower would not routinely be made available to third parties. All requests under this Act are to be addressed to the nearest SBA office and must be identified as a Freedom of Information request.

### **RIGHT TO FINANCIAL PRIVACY ACT OF 1978**

#### **(12 U.S.C. 3401)**

This is notice to you, as required by the Right to Financial Privacy Act of 1978, of SBA's access rights to financial records held by financial institutions that are, or have been, doing business with you or your business, including any financial institution participating in a loan or loan guaranty. The law provides that SBA shall have a right of access to your financial records in connection with its consideration or administration of assistance to you in the form of a Government loan or loan guaranty agreement. SBA provides a certification of its compliance with the Act to a financial institution in connection with its first request for access to your financial records, after which no further certification is required for subsequent access. The law also provides that SBA's access rights continue for the term of any approved loan or loan guaranty agreement. No further notice to you of SBA's access rights is required during the term of any such agreement. The law also authorizes SBA to transfer to another Government authority any financial records included in an application for a loan, or concerning an approved loan or loan guaranty, as necessary to process, service or foreclose on a loan or loan guaranty or to collect on a defaulted loan or loan guaranty. No other transfer of your financial records to another Government authority will be permitted by SBA except as required or permitted by law.

### **PRIVACY ACT OF 1974 INFORMATION**

Certain information such as personal balance sheets are used to evaluate your application. Such information may be given to Federal, state or local agencies for law enforcement purpose. Omission of an item means your application might not receive full consideration.

### **EQUAL CREDIT OPPORTUNITY ACT**

#### **(15 U.S.C. 1691)**

The Federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status or age (provided that the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program, or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The Federal agency that administers compliance with this law concerning this creditor is the Federal Trade Commission, Equal Credit Opportunity, Washington, D.C. 20580.

### **CIVIL RIGHTS LEGISLATION**

All businesses receiving SBA financial assistance must agree not to discriminate in any business practice, including employment practices and services to the public, on the basis of categories cited in 13 C.F.R. Parts 112, 113 and 117 of SBA regulations. This includes making their goods and services available to handicapped clients or customers. All business borrowers will be required to display the "Equal Employment Opportunity Poster" prescribed by SBA.

### **CERTIFICATION AS TO COMPLIANCE WITH NONDISCRIMINATION LAWS**

I give the assurance that I will comply with Sections 112, 113, and 117 of Title 13 of the Code of Federal Regulations, which prohibit Discrimination on the grounds of race, color sex religion, marital status, handicap, age or national origin by recipients of Federal financing assistance and require appropriate reports and access to books and records. These requirements are applicable to anyone who buys or takes control of the business. I realize that if I do not comply with these nondiscrimination requirements, SBA can call, terminate, or accelerate repayment or suspend any or all Federal financial assistance provided by SBA.

**IMMIGRATION REFORM AND CONTROL ACT OF 1986****(PUB. L. 99-603)**

If you are an alien who was in this country illegally since before January 1, 1982, you may have been granted lawful temporary resident status by the United States Immigration and Naturalization Service pursuant to the Immigration Reform and Control Act of 1986 (Pub. L. 99-603). For five years from the date you are granted such status, you are not eligible for financial assistance from the SBA in the form of a loan or guaranty under Section 7(a) of the Small Business Act or Section 504 of the Small Business Investment Act unless you are disabled or a Cuban or Haitian entrant. When you sign this document, you are making the certification that the Immigration Reform and Control Act of 1986 does not apply to you, or if it does apply, more than five years have elapsed since you have been granted lawful temporary resident status pursuant to such 1986 legislation.

**OCCUPATIONAL SAFETY AND HEALTH ACT****(15 U.S.C. 651 ET SEQ.)**

This legislation authorizes the Occupational Safety and Health Administration in the Department of Labor to require businesses to modify facilities and procedures to protect employees or pay penalty fees. In some instances the business can be forced to cease operations or be prevented from starting operations in a new facility. Therefore, in some instances SBA may require additional information from an applicant to determine whether the business will be in compliance with OSHA regulations and allowed to operate its facility after the loan is approved and disbursed.

**FLOOD DISASTER PROTECTION ACT****(42 U.S.C. 4011)**

Regulations have been issued by the Federal Insurance Administration (FIA) and by SBA implementing this Act and its amendments. These regulations prohibit SBA from making certain loans in an FIA designated floodplain unless Federal flood insurance is purchased as a condition of the loan. Failure to maintain the required level of flood insurance makes the applicant ineligible for any future financial assistance from SBA under any program, including disaster assistance.

**EXECUTIVE ORDERS FLOODPLAIN MANAGEMENT AND WETLAND PROTECTION****(42 F.R. 26951 AND 42 F.R. 26961)**

The SBA discourages any settlement in or development of a floodplain or a wetland. This statement is to notify all SBA loan applicants that such actions are hazardous to both life and property and should be avoided. The additional cost of flood preventive construction must be considered in addition to the possible loss of all assets and investments in future floods.

**LEAD-BASED PAINT POISONING PREVENTION ACT****(42 U.S.C. 4821 ET SEQ.)**

Borrowers using SBA funds for the construction or rehabilitation of a residential structure are prohibited from using lead-based paint (as defined in SBA regulations) on all interior surfaces, whether accessible or not, and exterior surfaces, such as stairs, decks, porches, railings, windows and doors, which are readily accessible to children under 7 years of age. A "residential structure" is any home, apartment, hotel, motel, orphanage, boarding school, dormitory, day care center, extended care facility, college or other school housing, hospital, group practice or community facility and all other residential or institutional structures where persons reside.

**EXECUTIVE ORDER 11736 ENVIRONMENTAL PROTECTION****(38 F.R. 25161)**

The Executive Order charges SBA with administering its loan programs in a manner that will result in effective enforcement of the Clean Air Act, the Federal Water Pollution Act and other environmental protection legislation. SBA must, therefore, impose conditions on some loans. By acknowledging receipt of this form and presenting the application, the principals of all small businesses borrowing \$100,000 or more in direct funds stipulate to the following:

1. That any facility used, or to be used, by the subject firm is not listed on the EPA list of violating facilities.
2. That subject firm will comply with all the requirements of Section 114 of the Clean Air Act (42 U.S.C. 7414) and Section 308 of the Water Act (33 U.S.C. 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in Section 114 and Section 308 of the respective Acts, and all regulations and guidelines issued there under.
3. That subject firm will notify SBA of the receipt of any communication from the Director of the Environmental Protection Agency indicating that a facility utilized, or to be utilized, by subject firm is under consideration to be listed on the EPA list of violating facilities.

**AGREEMENT OF NON-EMPLOYMENT OF SBA PERSONNEL**

I agree that if SBA approves this application, I will not for at least two years hire an employee or consult anyone who was employed by the SBA during the one year period prior to the disbursement of the debenture.

**CERTIFICATION AS TO PAYMENT FOR FINANCIAL ASSISTANCE**

I certify: I have not paid anyone connected with the Federal Government for help getting this financial assistance. I also agree to report to the SBA Office of Inspector General, 409 Third Street S.W., Washington, D.C. 20416, any Federal government employee who offers in return for any type of compensation to help get this application approved.

I understand that I need not pay anybody to deal with SBA. I also understand that a Certified Development Company may charge the applicant a percentage of the loan proceeds as set forth in SBA regulations as a fee for preparing and processing loan applications.

**CERTIFICATION AS TO NON-RELOCATION**

Regulations issued by SBA prohibit the making of loans that will result in a significant increase of unemployment in any area of the country (13 C.F.R. 108.3(a)). In the event that proceeds from this loan are used to provide a facility for relocation of the beneficiary small business concern (including any affiliate, subsidiary or other business entity under direct, indirect or common control), the undersigned certifies that such relocation will not significantly increase unemployment in the area of the original location.

**DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION FOR LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension. 13 C.F.R. 145.

1. The prospective lower tier participant certifies, by submission of this loan application, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to the loan application.

**CERTIFICATION AS TO APPLICATION ACCURACY**

All information in this application, including exhibits, is true and complete to the best of my knowledge and is submitted to SBA so that SBA can decide whether to approve this application. I agree to pay for or reimburse SBA for the cost of any surveys, title or mortgage examinations, appraisals, etc., performed by non-SBA personnel provided that I have given my consent.

Whoever makes any statement knowing it to be false, or whoever willfully overvalues any security, for the purpose of obtaining any loan, or substitution of security therefor, or for the purpose of influencing in any way the action of SBA, or for the purpose of obtaining money, property, or anything of value, under the Small Business Investment Act, as amended, may be punished by a fine of not more than \$5,000.00 or by imprisonment for not more than two years, or both, pursuant to the federal law at 15 U.S.C. 645.

Whoever in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up a material fact by any trick, scheme or device, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or documents knowing the same to contain any false, fictitious or fraudulent statement or entry may be fined up to \$10,000.00 or imprisoned for up to 5 years, or both, pursuant to the Federal law at 18 U.S.C. 1001.

**CONFLICTS OF INTEREST**

No overlapping relationship exists between the small business concern, including its associates, and the CDC, including its associates, or any other lender providing financing for the project that could create an appearance of a conflict of interest as defined in 13 C.F.R. 120.102-10 or violate 13 C.F.R. 108 4(d). No such relationships existed within six months of this application or will be permitted to exist while the assistance is outstanding.

**DEBT COLLECTION ACT OF 1982 AND DEFICIT REDUCTION ACT OF 1984****(31 U.S.C. 3701 ET SEQ. AND OTHER TITLES)**

These laws require SBA to aggressively collect any loan payments which become delinquent. SBA must obtain your taxpayer identification number when you apply for a loan. If you receive a loan and do not make payments as they come due, SBA may take one or more of the following actions:

- Report the status of your loan(s) to the credit bureaus.
- Hire a collection agency to collect your loan.
- Offset your income tax refund or other amounts due to you from the Federal Government.
- Suspend or debar you or your company from doing business with the Federal Government.
- Refer your loan to the Department of Justice or other attorneys for litigation.
- Foreclose on collateral or take other action permitted in the loan instruments.

Disclose the status of your loan (s) to other Federal agencies for the purpose of computer matching. Matching may be used to initiate offsets or limit your access to Federal benefits.

**OTHER AGREEMENTS AND CERTIFICATIONS**

I authorize disclosure of all information submitted in connection with this application to the financial institution agreeing to participate with the debenture. I waive all claims against SBA and its consultants for any management and technical assistance that may be provided. In consideration for assistance from the Small Business Administration, I hereby agree that I will comply with all Federal Laws and Regulations to the extent that they are applicable to such assistance, including conditions set forth in this application. I, my spouse, or any member of my household, or anyone who owns, manages, or directs the business or their spouse or members of their households do not work for the SBA, the Small Business Advisory Council, SCORE or ACE, any Federal Agency, or the participating lender. If someone does, the name and address of such person and where employed is provided on an attached page.

**From time to time, the Purchase Area Development District (PADD) prepares news articles referencing small businesses that participate in any of the various Loan Programs. May we reference your company in future articles prepared by PADD:**  
 Yes  No

**APPLICANT'S ACKNOWLEDGMENT**

**My signature acknowledges receipt of this form, that I have read it and that I have a copy for my files. My signature represents my agreement to comply with the requirements the PADD and/or the Small Business Administration / USDA makes in connection with the approval of my loan request and to comply, whenever applicable, with the limitations contained in this notice.**

**NO RETURN POLICY:**

My signature acknowledges that the Loan Application, including all attachments and other provided documents / financial statements ("Loan Packet") become property of the Purchase Area Development District and will NOT be returned to the applicant, even if the applicant withdraws his/her/its loan request.

*(Each Proprietor, each General Partner, each Limited Partner or Stockholder owning 20% or more, and each Guarantor must sign. Each person should only sign once.)*

**APPLICANT NOTIFICATIONS**

I or any of the officers of my company  have (or)  have not (please check as appropriate) been involved in bankruptcy or insolvency proceedings. If so, I have attached copies of the proceedings.  
I or my business  is (or)  is not (please check as appropriate) involved in any pending lawsuits. If so, I have attached descriptions.

**IF APPLICANT IS A PROPRIETOR OR PARTNERSHIP, SIGN BELOW:**

**IF APPLICANT IS A CORPORATION, SIGN BELOW:**

\_\_\_\_\_  
Name of Business

\_\_\_\_\_  
Name of Corporation

By: \_\_\_\_\_ Date: \_\_\_\_\_

By: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_  
Attested by (Seal if required):

**Additional Proprietors, Partners, Stockholders or Guarantors as required (please make a copy of this page if you need more):**

**US Citizen:**  Yes  No → Please fill out + sign the USCIS Verification Form if the answer is "No"!

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**US Citizen:**  Yes  No → Please fill out + sign the USCIS Verification Form if the answer is "No"!

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**US Citizen:**  Yes  No → Please fill out + sign the USCIS Verification Form if the answer is "No"!

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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**US Citizen:**  Yes  No → Please fill out + sign the USCIS Verification Form if the answer is "No"!

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_